# Squeezing water from a stone: High-throughput sequencing from a 145-year old holotype resolves (barely) a cryptic species problem in flying lizards

Jimmy A McGuire <sup>Corresp., 1, 2</sup>, Darko D Cotoras <sup>3,4</sup>, Brendan O'Connell <sup>5</sup>, Shobi Z S Lawalata <sup>1</sup>, Cynthia Y Wang-Claypool <sup>1,2</sup>, Alexander Stubbs <sup>1,2</sup>, Xiaoting Huang <sup>6</sup>, Guinevere O U Wogan <sup>7</sup>, Sarah M Hykin <sup>1,2</sup>, Sean B Reilly <sup>1,2</sup>, Ke Bi <sup>8</sup>, Awal Riyanto <sup>9</sup>, Evy Arida <sup>9</sup>, Lydia L Smith <sup>1</sup>, Heather Milne <sup>3</sup>, Jeffrey W Streicher <sup>10</sup>, Djoko T Iskandar <sup>11</sup>

<sup>1</sup> Museum of Vertebrate Zoology, University of California, Berkeley, Berkeley, California, United States

<sup>2</sup> Department of Integrative Biology, University of California, Berkeley, Berkeley, California, United States

<sup>3</sup> Department of Ecology and Evolutionary Biology, University of California, Santa Cruz, Santa Cruz, California, United States

<sup>4</sup> Entomology Department, California Academy of Sciences, San Francisco, California, United States

<sup>5</sup> Department of Biomolecular Engineering and Bioinformatics, Baskin School of Engineering, University of California, Santa Cruz, Santa Cruz, California, United States

<sup>6</sup> Key Laboratory of Marine Genetics and Breeding, Ocean University of China, Qingdao, China

<sup>7</sup> Department of Environmental Science, Policy, and Management, University of California, Berkeley, Berkeley, California, United States

<sup>8</sup> Computational Genomics Resource Laboratory, California Institute for Quantitative Biosciences, University of California, Berkeley, Berkeley, California, United States

<sup>9</sup> Museum Zoologicum Bogoriense (MZB), Indonesia Institute of Sciences (LIPI), Cibinong, West Java, Indonesia

<sup>10</sup> Department of Life Sciences, The Natural History Museum, London, London, United Kingdom

<sup>11</sup> School of Life Sciences and Technology, Institute of Technology Bandung, Bandung, West Java, Indonesia

Corresponding Author: Jimmy A McGuire Email address: mcguirej@berkeley.edu

We used Massively Parallel High-Throughput Sequencing to obtain genetic data from a 145-year old holotype specimen of the flying lizard, Draco cristatellus. Obtaining genetic data from this holotype was necessary to resolve an otherwise intractable taxonomic problem involving the status of this species relative to closely related sympatric Draco species that cannot otherwise be distinguished from one another on the basis of museum specimens. Initial analyses suggested that the DNA present in the holotype sample was so degraded as to be unusable for sequencing. However, we used a specialized extraction procedure developed for highly degraded ancient DNA samples and MiSeq shotgun sequencing to obtain just enough low-coverage mitochondrial DNA (547 base pairs) to conclusively resolve the species status of the holotype as well as a second known specimen of this species. The holotype was prepared before the advent of formalin-fixation and therefore was most likely originally fixed with ethanol and never exposed to formalin. Whereas conventional wisdom suggests that formalin-fixed samples should be the most challenging for DNA sequencing, we propose that evaporation during long-term alcohol storage and consequent water-exposure may subject older ethanol-fixed museum specimens to hydrolytic damage. If so, this may pose an even greater challenge for

sequencing efforts involving historical samples.

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- 6 Sarah M. Hykin<sup>1,2</sup>, Sean B. Reilly<sup>1,2</sup>, Ke Bi<sup>9</sup>, Awal Riyanto<sup>10</sup>, Evy Arida<sup>10</sup>, Lydia L. Smith<sup>1</sup>,
- 7 Heather Milne<sup>3</sup>, Jeffrey W. Streicher<sup>11</sup>, and Djoko T. Iskandar<sup>12</sup>.
- 8
- <sup>9</sup> <sup>1</sup> Museum of Vertebrate Zoology, 3101 VLSB, University of California, Berkeley, CA 94720, USA
- 10 <sup>2</sup> Department of Integrative Biology. University of California, Berkeley, CA 94720, USA
- <sup>3</sup> Department of Ecology and Evolutionary Biology, University of California, Santa Cruz, 1156 High
- 12 Street, Santa Cruz, CA 95064, USA
- <sup>4</sup>Entomology Department, California Academy of Sciences, 55 Music Concourse Dr., Golden Gate Park,
- 14 San Francisco, CA 94118, USA
- <sup>5</sup> Department of Biomolecular Engineering and Bioinformatics, Baskin School of Engineering, University
- 16 of California, Santa Cruz, 1156 High Street, Santa Cruz, CA 95064, USA
- <sup>6</sup> United in Diversity Foundation, Jalan Hayam Wuruk No. 28, Jakarta 10220, Indonesia
- <sup>18</sup> <sup>7</sup> Key Laboratory of Marine Genetics and Breeding, Ocean University of China, Ministry of Education, 5
- 19 Yushan Road, Qingdao 266003, China
- <sup>8</sup> Department of Environmental Science, Policy, and Management, University of California, Berkeley, CA
   94720, USA
- 22 <sup>9</sup> Computational Genomics Resource Laboratory, California Institute for Quantitative Biosciences, 238
- 23 Koshland Hall, University of California, Berkeley, CA 94720, USA
- 24 <sup>10</sup> Museum Zoologicum Bogoriense (MZB), Indonesia Institute of Sciences (LIPI), Jalan Raya Bogor-
- 25 Jakarta KM 46, Cibinong 16911, Indonesia
- 26 <sup>11</sup> Department of Life Sciences, The Natural History Museum, London SW7 5BD, United Kingdom
- <sup>12</sup> School of Life Sciences and Technology, Istitut Teknologi Bandung, Labtek XI Building, 10 Jalan
   Ganesa, Bandung 40132, Indonesia
- 28 Ganesa, Bandung 40132, Indonesia 29
- 30 Corresponding Author: Jimmy A. McGuire
- 3132 Email address: mcguirej@berkeley.edu
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#### 49

# 50 ABSTRACT

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52 We used Massively Parallel High-Throughput Sequencing to obtain genetic data from a 145year old holotype specimen of the flying lizard, *Draco cristatellus*. Obtaining genetic data from 53 54 this holotype was necessary to resolve an otherwise intractable taxonomic problem involving the 55 status of this species relative to closely related sympatric Draco species that cannot otherwise be 56 distinguished from one another on the basis of museum specimens. Initial analyses suggested 57 that the DNA present in the holotype sample was so degraded as to be unusable for sequencing. 58 However, we used a specialized extraction procedure developed for highly degraded ancient 59 DNA samples and MiSeq shotgun sequencing to obtain just enough low-coverage mitochondrial DNA (547 base pairs) to conclusively resolve the species status of the holotype as well as a 60 second known specimen of this species. The holotype was prepared before the advent of 61 62 formalin-fixation and therefore was most likely originally fixed with ethanol and never exposed to formalin. Whereas conventional wisdom suggests that formalin-fixed samples should be the 63 most challenging for DNA sequencing, we propose that evaporation during long-term alcohol 64 65 storage and consequent water-exposure may subject older ethanol-fixed museum specimens to 66 hydrolytic damage. If so, this may pose an even greater challenge for sequencing efforts 67 involving historical samples.

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# 70 INTRODUCTION

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72 The advent of Massively Parallel High-Throughput Sequencing (HTS) has dramatically altered 73 the manner in which geneticists conduct their research. This is certainly true for molecular 74 phylogeneticists and population geneticists, who now routinely have access to large multilocus 75 genetic datasets for non-model organisms. Because HTS using the Illumina platform involves 76 sequencing of small fragments of DNA, this approach offers the potential to access previously 77 unattainable genome-scale sequence data even for degraded historical samples (e.g., Prüfer et al., 78 2014; Palkopoulou et al., 2015). Millions of fluid-preserved specimens in museum collections 79 predate the development of allozyme and DNA sequencing technologies, and thus lack specially 80 preserved tissue samples for genetic analysis. Formalin-fixed fluid specimens usually having 81 highly fragmented and cross-linked DNA, are often refractory to sequencing efforts using traditional Sanger sequencing. However, recent studies have shown that it is possible to obtain 82 83 genomic DNA sequences from some of these fluid-preserved museum specimens. Hykin, Bi & 84 McGuire (2015) demonstrated that low-coverage genomic sequences could be recovered from a 30-year old formalin-fixed museum specimen, though they were unsuccessful with a ~100-year 85 86 old specimen. Ruane and Austin (2016) sequenced Ultra-Conserved Elements (UCEs) from both 87 formalin-fixed (n=11) and ethanol-fixed (n=10) museum specimens, including one sample that was collected between 1878 and 1911. Both had mixed success, with the quantity of DNA 88 89 recovered in the extraction stage likely playing the largest role in the performance of their 90 sequencing efforts. Notably, the samples that failed in Ruane and Austin's (2016) experiment 91 included subsets of both their formalin- (7 of 16) and alcohol-fixed (4 of 5) samples, indicating 92 that old alcohol-preserved museum specimens are not necessarily less problematic than those 93 initially fixed with formalin. This is surprising given that contemporary tissue samples earmarked for genetic analysis are routinely stored in 95% ethanol. 94

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96 lizard taxonomy using Illumina HTS and ancient DNA methods for a 145-year old fluid-97 preserved holotype specimen. The nettlesome taxonomic issue involves a small clade of poorly 98 known flying lizards (genus Draco, Agamidae) that, for reasons outlined below, was unlikely to 99 be resolved without obtaining genetic data from the holotype specimen of one of the constituent 100 species, Draco cristatellus. Determining species limits within this small clade (the Draco 101 *fimbriatus* group) has proven challenging for taxonomists, and we first describe the convoluted 102 taxonomic history of the clade as a justification for our ultimate solution to this question involving HTS. The D. fimbriatus group currently includes four recognized species: D. 103 104 abbreviatus, D. cristatellus, D. fimbriatus, and D. maculatus. This taxonomic framework is 105 based on Manthey (2008) and was followed by the widely utilized Reptile Database (Uetz, 2006). 106 For reasons that we will describe in a subsequent paper, we instead utilize an alternative 107 taxonomy that includes D. cristatellus, as well as D. fimbriatus (= D. abbreviatus above), D. 108 *hennigi*, *D. punctatus* (= *D. fimbriatus* above), and *D. maculatus*. We note that our taxonomy 109 differs from that of Manthey (2008) primarily as a consequence of having information that 110 indicates that the type locality of *D. fimbriatus* is the Malay Peninsula rather than Java. We 111 further note that our recognition of both D. cristatellus and D. punctatus is tentative, as a primary 112 objective of this paper is to resolve whether these are in fact distinct species. 113 The Draco fimbriatus group is taxonomically challenging. Although Draco maculatus is 114 abundant, easily sampled in the field, and easily distinguished from other members of the group 115 based on external phenotype, the remaining members of this clade are only rarely encountered, with relatively few specimens represented in museum collections. These species are canopy 116 117 specialists (McGuire, 2003), making them more difficult to detect and more challenging to 118 collect than other *Draco* taxa. Furthermore, the species comprising the *D. fimbriatus* group, as 119 well as several other Draco clades are primarily distinguished on the basis of differences in 120 coloration of their display structures (dewlap and patagia for most *Draco* taxa, just the dewlap 121 among the relevant members of the *D. fimbriatus* group). For example, two major clades — the 122 'Philippines volans group' (McGuire and Alcala, 1999) and the 'Draco lineatus group' 123 (McGuire et al., 2007) — are each composed of multiple species that are primarily distinguished 124 on the basis of coloration. Because coloration fades in preservative, recognizing species-specific 125 coloration characteristics generally requires experience with the species in the field and/or access 126 to color imagery of the specimen in life. Thus, as museum specimens, the members of these 127 clades are functionally cryptic sympatric species. In summary, for the *D. fimbriatus* group, the

Our objective in this study was to address an otherwise intractable problem in flying

128 paucity of museum specimens, and the rarity with which specimens are observed in the field 129 from throughout their collective ranges by single observers, has greatly impeded taxonomic 130 progress.

131 Within the *Draco fimbriatus* group, a particularly challenging issue relates to the 132 taxonomic standings of D. cristatellus Günther 1872 and D. punctatus Boulenger 1900. Draco 133 cristatellus was described based on a single specimen collected by Mr. Alfred Hart Everett in 134 Matang, Sarawak between 1869 and March of 1872 (when Günther's manuscript describing the 135 species was submitted for publication). Although Everett collected the type specimen, the 136 Trustees of the British Museum purchased it from Mr. W. Cutter, thereby making it available to Günther for description (see Günther, 1872). Because Günther presumably did not see the living 137 specimen, he did not evaluate the coloration of the dewlap in life, which is essential for species 138 139 identification within this group. Günther described the dewlap as 'golden-yellow, with a brown 140 anterior edge', presumably from its preserved state. Subsequently, Boulenger (1900) described D.

*punctatus* from Bukit Larut on the Malay Peninsula, noting that the dewlap was lemon yellow in 141 142 coloration. Although he did not attempt to diagnose D. punctatus from D. cristatellus, Boulenger 143 (1900) was clearly aware of the latter species and explicitly considered his D. punctatus holotype 144 to be taxonomically distinct. Indeed, Boulenger (1900) noted that he had examined a second 145 specimen of *D. punctatus* from Sarawak that was also collected by Everett, remarking that he 146 had previously referred that second specimen to D. cristatellus. Boulenger (1900) might be the 147 last author to have had a clear idea about the taxonomic distinctiveness of D. cristatellus and D. 148 *punctatus*, and it is a pity that he did not identify the character differences that he used to render 149 his taxonomic decision. Although de Rooij (1915) recognized D. fimbriatus, D. cristatellus and 150 D. punctatus as distinct species, subsequent authors synonymized one or more members of the 151 group. Hennig (1936) synonymized D. cristatellus with D. fimbriatus, while continuing to 152 recognize D. punctatus. In his monographic Draco taxonomic study, Musters (1983) opted to 153 synonymize both D. cristatellus and D. punctatus with D. fimbriatus. In his competing taxonomic treatment, Inger (1983) recognized two species, D. cristatellus and D. fimbriatus. as 154 valid species, but placed *D. punctatus* in the synonymy of *D. cristatellus*. Inger's (1983) 155 156 recognition of two species was based in part on Grandison's (1972) report on two sympatric D. 157 *fimbriatus* group species with distinct dewlap colorations on Gunung (Mt.) Benom on the Malay 158 Peninsula. Whereas Grandison (1972) identified the two sympatric species as D. fimbriatus and 159 D. punctatus (without commenting on the status of the Bornean D. cristatellus), Inger (1983) 160 instead opted to treat D. punctatus as a synonym of D. cristatellus. This sensible decision was 161 presumably made on the basis of the similar dewlap colorations of the D. cristatellus and D. *punctatus* holotypes ('golden-yellow, with a brown anterior edge' vs. 'lemon yellow'). Inger 162 163 (1983) furthermore attempted to differentiate his conceptions of D. fimbriatus and D. cristatellus 164 using a statistical analysis of eight linear measurements and scale counts. Although he successfully sorted his sample into two groups on the basis of overlapping but significantly 165 166 distinct character state differences, his *a priori* placement of *D. punctatus* in the synonymy of *D*. 167 *cristatellus* effectively precluded the possibility that three species — D. *cristatellus*, D. 168 *fimbriatus*, and *D. punctatus* — might all co-occur on the Greater Sunda Shelf (and particularly 169 on Borneo). Here we address this open question taking advantage of two critical developments: 170 (1) the acquisition and analysis of a key specimen (TNHC 56763) obtained by JAM from Santubong, Sarawak, Malaysian Borneo in 1996, and (2) an analysis of the 145-year old D. 171 172 *cristatellus* holotype using ancient DNA extraction methods and HTS on the Illumina platform.

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### 174 MATERIALS AND METHODS

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### 176 (a) DNA extraction and sequencing from the *Draco cristatellus* holotype

- 177 We obtained from the Natural History Museum in London liver tissue from the holotype of
- 178 Draco cristatellus (specimen BMNH 1872.2.19.4). This specimen was originally collected and
- 179 prepared prior to March 1872, well before the advent of formalin-fixation.
- 180 At that time, the standard practice for fluid preservation of reptiles, amphibians, and fishes was
- 181 direct preservation in "pure spirits of wine" (Günther, 1880). Thus, the holotype was most likely
- 182 initially fixed in 90-100% ethanol (= Günther's "pure spirits of wine") and never exposed to
- 183 formalin. Nevertheless, we opted to perform our initial DNA extraction using the methodology
- 184 described in Hykin, Bi & McGuire (2015) for formalin-fixed tissues, with the goal being to
- 185 perform an exome-capture experiment with this sample. The Hykin, Bi & McGuire (2015)
- 186 procedure involves a series of initial ethanol washes followed by treatment in a heated alkali

187 buffer solution to break cross-linkages before standard phenol-chloroform extraction. When this 188 extraction returned a very low (potentially zero) yield, we performed a second pair of phenol-189 chloroform extractions involving phase-lock gel tubes followed by SPRI bead clean-up. This 190 second round of extractions was performed with and without exposure to heated alkali solution. 191 These extractions also failed to return sufficient DNA to move forward with library preparation. 192 Despite minimal DNA yield, we made an attempt to PCR-amplify and sequence a short fragment 193 of the mitochondrial ND2 gene from both DNA extracts. These experiments resulted in the 194 amplification and sequencing of human ND2 in two separate experiments. Both of our low-yield 195 DNA extractions were then sent to MYcroarray Inc. in Ann Arbor, MI where they were 196 subjected to an extra silica purification designed for low-concentration fragment retention, and 197 prepared as libraries. However, the library preparation retrieved only artifact and we did not 198 proceed to targeted enrichment of selected exons or sequencing.

199 At this stage, we engaged with a lab specializing in genetic analysis of ancient DNA 200 samples, with extraction and sequencing performed in a facility specifically designed for work 201 with ancient samples (the Shapiro Lab at UC Santa Cruz). No reptile work had previously been 202 done in this facility and all work followed lab standards for working with historical samples 203 (Fulton, 2011). The DNA extraction protocol was based on Dabney et al. (2013), Tin, Economo 204 & Mikheyev (2014), and D. D. Cotoras et al. (2017). An initial subsample of 40 mg of tissue was subdivided into ~1mm pieces and suspended in lysis buffer. The composition of the 100 mL lysis 205 206 buffer aliquot was: 5.3 mL 1 M Tris-HCl (pH 8.0), 5.3 mL 0.2 M EDTA, 10.6 mL 20% Sarkosyl, 207 1 mL 2-mercaptoethanol, and 77.8 mL distilled water. The tissue was digested with a total of 1 208 mL of lysis buffer with 1 mg/mL proteinase K, initially incubated overnight at 56°C, and then 209 raised to 72°C for 1 hr. The 72°C incubation step was undertaken in case the sample had been 210 exposed to formalin in order to reverse any potential crosslinks. Silica-based purification followed the centrifugation-based protocol described by Dabney et al. (2013). Briefly, 0.5 mL of 211 212 3M sodium acetate was added to the lysate and them transferred to a tube with 13 mL of binding 213 buffer. The binding buffer is prepared in a 50 mL tube by first adding 23.88 g of guanidine 214 hydrochloride and then adding water to bring the volume to 30 mL. A key element of this 215 purification protocol is the high salt concentration of this binding buffer, which enhances 216 recovery of short DNA fragments. After complete dissolution of the guanidine hydrochloride, 25 µl of Tween-20 and sufficient isopropanol to bring the total volume to 50 mL were added. The 217 218 mixture of sample, binding buffer, and sodium acetate was transferred into a Zymo extension 219 reservoir attached to a MiniElute spin column. The spin column was then centrifuged for 10 220 minutes at 1,000 rpm, after which the spin column was transferred to a 1.5 mL Eppendorf tube. 221 We performed a dry spin for 1 minute at 13,000 rpm, followed by 2 washes with 750 µl of PE 222 buffer (1 minute spin at 6,000 rpm). To ensure the entire PE buffer was removed, we did a dry spin for 1 minute at maximum speed. We eluted the purified extract in two volumes of 25  $\mu$ l of 223 224 TET. Each sample was centrifuged for 30 seconds at 13,200 RPM after 3-5 minutes of 225 incubation. Because the elution displayed pigmentation, 25  $\mu$ l of the extract was purified on a 226 column filled with cross-linked polyvinylpyrrolidone (PVPP) (Arbeli and Fuentes, 2007). We 227 also produced an extraction control consisting of lysis buffer that was subjected to the same set 228 of procedures. 229 For genomic sequencing, we prepared two barcoded Illumina sequencing libraries (one

for the holotype sample and one for the control) using the Meyer and Kircher (2010) protocol, starting with 5  $\mu$ l of the PVPP purified DNA extraction. The same volume was used for the

extraction control. The libraries were sequenced on an Illumina MiSeq machine using 150-cycle

- 233 v3 chemistry (2x75). Following sequencing, adaptors were removed from reads and sequences
- 234 were merged using SeqPrep2 (<u>https://github.com/jeizenga/SeqPrep2</u>). Default parameters were
- 235 used with the exception of the following: -q 20 -L 30 -A AGATCGGAAGAGCACACGTC -B
- 236 AGATCGGAAGAGCGTCGTGT. FastQC (<u>https://www.bioinformatics.babraham.ac.uk/</u>
- 237 <u>projects/fastqc/</u>) confirmed that the sequence quality was good, with the normal base quality drop 238 in the final five bases.
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# 240 (b) Sanger sequence data for *Draco fimbriatus* group specimens

- 241 Our team has generated a large number of complete ND2 sequences for Draco specimens,
- 242 including for 65 exemplars representing the D. fimbriatus group. These sequences were available
- 243 for comparison with ND2 sequence fragments obtained from the D. cristatellus holotype. PCR-
- amplification was undertaken using the primers Metf1 and ALAr2, with cycle sequencing
- involving these external primers plus the internal primers Metf5 and ND2r6 (see McGuire andKiew 2001 for details).
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# 248 (c) Exome-capture and screening of mitochondrial DNA

249 For another project (McGuire et al. in prep), we generated an exome-capture data set using the 250 MyBaits in-solution capture system for a set of 350 samples spanning all of Draco. This sample 251 set included 14 D. fimbriatus group samples. The target loci for the exome capture include 1400 252 exons and flanking sequences derived from transcriptome sequences (jointly representing 709 253 loci), which were supplemented with an additional 540 lizard-specific UCE loci. Libraries 254 enriched for our target loci were barcoded and sequenced on an Illumina Hi Seq 4000. Although 255 our experiment was specifically designed to avoid capturing mitochondrial genes, mitochondrial 256 sequences are so abundant in genomic DNA extractions that some mitochondrial molecules 257 inevitably find their way into the off-target by-catch (non-target DNA sequences that are 258 obtained during an exome-capture experiment). We took advantage of this imperfect filter to 259 obtain mitochondrial sequences for comparison with the D. cristatellus holotype. For TNHC 260 56763, we used Geneious version 8.1.7 (Kearse et al. 2012) to obtain a mostly complete 261 representation of mitochondrial coding genes by mapping our raw exome capture data (including 262 off-target sequences) to the complete mitochondrial genome of Acanthasaura armata available 263 on GenBank (AB266452.1). A preliminary assessment of the identity of the sequences was 264 performed with a BLAST search after collapsing duplicate sequences with fastx collapser 265 (http://hannonlab.cshl.edu/fastx\_toolkit/commandline.html#fastx\_collapser\_usage). The result of the BLAST search was visualized with the program MEGAN (Huson et al., 2007). Processed 266 267 reads were mapped with BWA mem (Li and Durbin, 2009) against the reference partial mitochondrial genome of TNHC 56763. Duplicates were removed with samtools rmdup (Li et al., 268 2009). A total of 17 unique reads mapped against the reference after duplicate removal. The 269 270 represent a total of 777 bp of the 8114 bp reference. Most of the mapped regions had 1x 271 coverage and portions of four contigs had 2x coverage. The average length of the mapped reads 272 was 53 bp. Finally, for each of the 14 D. fimbriatus group samples included in our exome-273 capture experiment, we used Geneious to map our raw sequencing reads to 10 mitochondrial

- contigs obtained for the *D. cristatellus* holotype. The raw sequence data is available on the SRA
   database.
- 275 da 276

# 277 (d) Analysis of DNA sequence variation

- 278 Our analysis of DNA sequence variation included alignment of homologous DNA sequences and
- a simple count of nucleotide base substitutions between the *Draco cristatellus* holotype, sample
- 280 TNHC 56763 from Santubong, Sarawak, and our selection of *D. fimbriatus* and *D. punctatus*
- samples from the Malay Peninsula, Sumatra, the Mentawai Islands, Java, and Borneo. Specimens
- examined are listed in Table 1. We also performed a heuristic parsimony analysis to obtain a
- phylogram for the *D. fimbriatus* group and performed a non-parametric bootstrap analysis with
- 1000 replicates to assess branch support. We did not perform a more rigorous maximum
- 285 likelihood or Bayesian analysis because our primary objective was to assess uncorrected relative
- branch lengths. Phylogenetic analyses were performed in PAUP version 4 (Swofford 2002).
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# 288 (e) Data availability and Permits

- 289 Sanger sequence data are available on GenBank (will be submitted if accepted) and a matrix for
- the mitochondrial ND2 gene for the *D. fimbriatus* group is included as supplemental materials.
- This research was undertaken in accordance with UC Berkeley Animal Use Protocol Number
- AUP-2014-12-6954. Fieldwork was undertaken with research permits issued by the Economic
- 293 Planning Unit of Malaysia (UPE:40/200/19 SJ.363) and the Indonesia Institute of Sciences
- 294 (LIPI: No. 2411/FRP/SM/X?2008 and No. 0115/FRP/SM/VI/2009).
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# 296 **RESULTS**

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- 298 The MiSeq run generated a total of 1,086,926 paired-end reads after combining the data from 2
- 299 different libraries (prepared identically) from the same extract. Raw sequences were merged if
- 300 possible and duplicates collapsed, producing a total of 538,995 reads, which were BLAST
- 301 searched (Altschul et al. 1990) against the NCBI database. 115,266 reads were successfully
- assigned, and of these, 47,400 hits corresponded to bacteria, 40,751 were assigned to mammals
- 303 (of which 29,720 were specifically assigned to human), and 48 were assigned to *Anolis*
- *carolinensis.* Only three reads were assigned to *Draco*, each of which involved the mitochondrial ND2 sequence posted on GenBank for *Draco cristatellus* sample TNHC 56763 (see below). The
- 305 np2 sequence posted on Genbank for *Draco cristatetius* sample 1NHC 50705 (see below). The 306 paucity of *Draco* hits is not surprising given that there are no *Draco* reference genomes to map
- 307 to. The extraction control was sequenced producing a total of 104,417 PE reads. After processing
- 308 (adaptor removal, merged if possible, and duplicate removal) a total of 15,480 reads were
- 309 assigned by the BLAST search. Bacteria were represented by 6,805 reads, mammals by 4,711
- reads (of those, 3103 were assigned to human, and reptiles were assigned no reads (one read was
- 311 assigned to chicken (Gallus gallus).
- 312 Of the three holotype ND2 reads, two were broadly overlapping, and the joint ND2 data 313 obtained from the holotype totaled only 125 bp. To search for additional mitochondrial contigs in 314 the holotype MiSeq data, we first generated a partial mitochondrial genome for TNHC 56763 315 from exome-capture off-target by-catch, which returned 8114 bp of protein-coding gene 316 sequence data. Mapping the holotype data to the TNHC 56763 mitochondrial assembly resulted in the recovery of an additional 10 reads totaling 596 bp of mitochondrial sequence data 317 318 representing six genes (COI, COXIII, ATPase8, ND4, ND4L, ND5). Thus, a total of 13 contigs were assembled with an average length of 61 bp. Most of the mapped regions had 1x coverage 319 320 and parts of four contigs had 2x coverage. No reads were recovered when mapping the extraction 321 blank against the same reference. In comparing the holotype sequence data with TNHC 56763 322 across the 721 bp of homologous sequence data, we found that the two samples were only
- 323 weakly divergent from one another, sharing the same base calls at 710 of 721 positions for a raw

sequence divergence of 1.5%. We then mapped the raw reads from the exome captures for the
remaining 13 *D. fimbriatus* group samples to the 10 *D. cristatellus* holotype contigs, which
returned as few as two and as many as six homologous sequences per sample.

327 Comparison of the mitochondrial data obtained from the Draco cristatellus holotype with 328 homologous data obtained for D. fimbriatus group samples found that the sample TNHC 56763 329 from Santubong, Sarawak, Malaysian Borneo was much more similar to the holotype than were 330 any other *D. fimbriatus* group samples. When limiting our comparison to the six gene fragments 331 for which we had between six and 13 corresponding sequences for comparison to the holotype, 332 we found that TNHC 56763 was 0.9% divergent from the holotype, whereas all other samples 333 ranged between 11.9% and 15.1% divergent. TNHC 56763 differed from the holotype at just five 334 of 547 base positions, whereas the other samples differed from the holotype at from 36 of 303 bp 335 to 70 of 465 base positions. The ND2 comparisons were most comprehensive because we had 336 access to complete ND2 sequences for 65 D. fimbriatus group samples. Whereas TNHC 56763 337 differed from the holotype at three of 183 base positions, all other samples differed by at least 24 base positions. Notably, the two D. punctatus samples from Sarawak (the type locality for D. 338 339 cristatellus) differed from the holotype at 28 and 30 of 183 base positions (15.3% and 16.4%, 340 respectively).

341 A parsimony phylogenetic analysis of the ND2 gene including the D. cristatellus 342 holotype strongly supports the monophyly of the holotype together with TNHC 56763 to the 343 exclusion of all other *D. fimbriatus* group samples with 100% bootstrap support (Figure 1). 344 Further, the 0.9% mitochondrial sequence divergence between TNHC 56763 and the holotype is within the scope of ND2 sequence variation that we observe between D. punctatus samples from 345 346 the same locality. This does not consider the possibility that one or more of the five documented 347 base substitutions could be sequencing errors resulting either from damage to the holotype DNA 348 or random errors in our low coverage data, as we did not apply informatics pipelines developed 349 to identify post-mortem damage of ancient DNA to our data (e.g. Mateiu and Rannala 2008, 350 Molak and Ho 2011). Notably, one of the three inferred ND2 substitutions is a first position C-351 >T change that would result in a proline to serine amino acid replacement, suggesting that this

352 might be the result of post-mortem deamination of the template molecular or sequencing error.

353

# 354 **DISCUSSION**

#### 355

In the present study, we applied HTS to a 145-year old fluid-preserved holotype specimen in an 356 effort to disentangle an otherwise intractable taxonomic question. The problem stems from the 357 358 fact that one of the species in this group, Draco cristatellus, was described using limited color 359 information, and because fluid-preserved specimens representing multiple sympatric D. 360 *fimbriatus* group species are often indistinguishable from one another without color information. 361 Indeed, sympatric species in this complex are effectively cryptic once they have been prepared as 362 museum specimens. This combination of circumstances rendered it virtually impossible to 363 resolve the species status of D. cristatellus relative to D. punctatus and D. fimbriatus, two 364 widespread species on the Greater Sunda Shelf. Importantly, a sample (TNHC 56763) collected 365 in 1996 by JAM provides phylogenetic evidence for a third D. fimbriatus group species on Borneo, with the natural question being whether this sample is conspecific with the name-366 bearing holotype specimen of *D. cristatellus* housed in the British Museum of Natural History. 367

368 Several species composition outcomes were possible, all of which were considered in a

369 hypothesis-testing framework. In Hypothesis 1, D. cristatellus and D. punctatus are synonyms,

together representing a single species distinct from *D. fimbriatus* and TNHC 56763. In

371 Hypothesis 2, D. cristatellus and D. fimbriatus are synonyms. In Hypothesis 3, D. cristatellus is

a species distinct from *D. punctatus* and *D. fimbriatus* but conspecific with TNHC 56763.

373 Finally, in Hypothesis 4, D. cristatellus, D. fimbriatus, D. punctatus, and TNHC 56763 all

374 represent distinct species, with TNHC 56763 representing a fourth sympatric species on Borneo.

375 The only way to conclusively test these alternative hypotheses was to obtain informative genetic

376 data from the holotype specimen of *D. cristatellus* for comparison with TNHC 56763, and

377 representative specimens of *D. fimbriatus* and *D. punctatus*.

378 We initially believed that genetic data would easily be retrieved from the Draco 379 cristatellus holotype. The holotype was prepared before the advent of formalin-fixation, and we 380 consequently had reason to believe that the specimen was originally fixed with ethanol and had 381 never been exposed to formalin. Because tissue samples collected for genetic analysis are 382 routinely stored in ethanol, we were confident that the holotype would still hold high molecular-383 weight DNA suitable for genomic sequencing. Our hope was to perform exome-capture with this sample and include it in a larger Draco phylogenomic data set. However, our initial attempts at 384 385 extracting DNA from the sample using methods appropriate for historical and formalin-fixed 386 tissues failed, forcing us to adjust both our approach and our expectations. Fortunately, our 387 alternative hypotheses proved testable without comprehensive genomic data from the holotype. 388 Indeed, analysis of the initial 125 bp of mitochondrial ND2 data identified when the holotype 389 sequence data was subjected to GenBank BLAST allowed us to reject hypotheses 1, 2, and 4 in 390 favor of hypothesis 3. The additional 422 bp of mitochondrial data obtained via mapping of 391 holotype contigs to the reconstructed mitochondrial genome for TNHC 56763 simply provided 392 additional confirmation that D. cristatellus and D. punctatus are each valid species, and that our 393 specimen TNHC 56763 from Santubong, Sarawak is indeed a true D. cristatellus exemplar. This 394 finding was a best-case scenario because all future specimens for which tissue samples are 395 obtained can now be compared with known D. cristatellus, D. punctatus, and D. fimbriatus 396 samples for genetic identification.

397 What lessons can be learned from our attempt to obtain genetic data from the Draco 398 cristatellus holotype? First, even when initial attempts at extraction and quantification of DNA 399 suggest that none is present, small numbers of DNA molecules may survive in the sample. For 400 questions of simple species identification involving old and highly degraded samples, it may 401 only be necessary to obtain limited data — even a few hundred base pairs of mitochondrial data 402 may be sufficient to address the question. Our study shows that this is indeed possible even when initial assessments suggest that DNA in a tissue sample has been highly degraded. Obtaining 403 404 data in these instances will likely require highly specialized extraction procedures such as the silica-column based extraction methodology utilized here, followed by short-fragment 405 sequencing. Finally, we believe that the difficulty we confronted with this ethanol-fixed sample 406 407 — which is consistent with the problems experienced by Ruane and Austin (2016) with their 408 presumed alcohol-fixed samples (4 of 5 of which failed to sequence) — suggests that hydrolyzed 409 ethanol-fixed tissues might be more problematic than formalin-fixed samples for genomic 410 sequencing efforts (see Handt et al. 1994 for a description of DNA hydrolysis). This has 411 important implications for curatorial practices. Not only is potential hydrolytic damage cause for concern with whole fluid specimens stored in ethanol, but it could be particularly problematic for 412 tissue samples stored in ethanol that are not maintained in sub-zero degree conditions. 413 414 Evaporation of ethanol from tissue vials might render even modern tissue samples virtually

415 unusable for genetic analysis.

416

# 417 CONCLUSIONS

418

419 The development of HTS has revolutionized biological research by making genome-scale data420 readily available at a reasonable cost, even for non-model organisms.

- 421 Systematists have fully embraced these advances in data acquisition for freshly sampled
- 422 specimens, but are just beginning to harness HTS for the millions of fluid-preserved historical
- 423 samples housed in natural history collections around the world. As we have shown here,
- 424 acquiring genetic data from old museum specimens will sometimes present special challenges,
- 425 but the information that can be gleaned from such specimens may be the only way to
- 426 conclusively resolve previously intractable evolutionary and taxonomic questions.
- 427

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# Figure 1(on next page)

Phylogenetic tree for the *Draco fimbriatus* group including the *D. cristatellus* holotype.

Figure 1. Phylogenetic tree for the *Draco fimbriatus* group based on a parsimony analysis of the complete mitochondrial ND2 gene (1032 bp). The *D. cristatellus* holotype includes 183 bp of sequence data. Only two of 28 available *D. maculatus* samples were included to simplify the image. Non-parametric bootstrap values (1000 replicates) are superimposed on the single most parsimonious phylogram for select clades. The photo in the bottom left is *Draco punctatus*.



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# Table 1(on next page)

Numbers of base substitutions and sequence divergence values between the *Draco cristatellus* holotype and 14 exemplars representing the *D. fimbriatus* group.

Base pair differences between the *Draco cristatellus* holotype and each of 14 *D. fimbriatus* group samples for six mitochondrial genes. For ND2, the data used for comparisons were generated using standard Sanger sequencing. For all other genes, the data were derived from exome-capture off-target sequences. Mean sequence divergence values relative to the holotype are provided for each species.

1	
T	

	COX III	COI	COI	ND4L	ND5	ND2	Total	%
	contig3a	contig12a	contig12b	contig13	contig15	Sanger		
cristatellus Borneo TNHC 56763	0/43	1/79	1/81	0/79	0/64	3/183	5/547	0.9%
punctatus Borneo TNHC 56766		7/79	7/69			30/183	44/331	13.3%
punctatus Borneo TNHC 56764		6/79	8/81	10/69		28/183	52/412	12.6%
punctatus Malay Pen. LSUHC 5617	5/43			10/79		32/183	47/305	15.4%
punctatus Mentawai MVZ 270632	4/43	10/79	7/81	12/79		37/183	70/465	15.1%
punctatus Batu Ids MVZ 270636	4/43	10/79	7/81	12/79		35/183	68/465	14.6%
punctatus Sumatra MVZ 270835	4/43	9/79	7/81	11/79		35/183	66/465	14.2%
punctatus Banyak Ids MVZ 270829	4/43	10/79	7/81	9/59		37/183	67/465	14.4%
fimbriatus Mal Pen TNHC 57954	4/43	7/71	7/81	9/79	12/64	29/183	68/521	13.1%
fimbriatus Mal Pen TNHC 58565	4/43	10/79	7/80	9/79	12/64		42/345	12.2%
fimbriatus Sumatra MZB Lace.14276		9/79	5/56	8/79		32/183	54/397	13.6%
fimbriatus Sumatra MVZ 239473		9/79	8/81	8/79	11/64		36/303	11.9%
hennigi LSUMZ 81446		11/79		8/79	12/64	23/183	54/405	13.3%
hennigi LSUMZ 81447		11/79		8/79	13/64	23/183	55/405	13.6%

cristatellus	5/547	0.9%
	414/290	14.20/
punctatus	8 200/156	14.2%
fimbriatus	6	12.8%
hennigi	111/810	13.7%