

NOTE

Ophiosetin, a new tetramic acid derivative from the mycopathogenic fungus *Elaphocordyceps ophioglossoides*

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Members of the subgroup of tetramic acids (pyrrolidine-2,4-diones) that feature substituted aliphatic bicyclic ring systems, such as equisetin from *Fusarium equiseti*¹ and altersetin from *Alternaria* sp.,² show potent antibacterial activities against Gram-positive bacteria, and conioisetin from *Coniochaeta ellipsoidea*³ and CJ-17572 from *Pezizula* sp.⁴ further exhibit inhibitory activity against multidrug-resistant *Staphylococcus aureus* and *Enterococcus faecalis*. In addition to their antimicrobial activity, equisetin-related compounds display various notable biological activities, such as cytotoxicity against P388 tumor cell lines (paecilisetin from *Isaria farinosa*⁵), phytotoxicity against five plant species (trichosetin from the dual culture of *Trichoderma harzianum* and *Catharanthus roseus* callus⁶) and HIV (human immunodeficiency virus)-1 integrase inhibitory activity (equisetin from *Fusarium heterosporum* and phomasetin from *Phoma* sp.⁷). This wide range of biological activities makes this class of compounds highly attractive for the discovery of novel bioactive compounds. During our continuous screening for new metabolites from filamentous fungi,^{8–10} an HPLC-diode array detection analysis of the *n*-BuOH extract of *Elaphocordyceps ophioglossoides* showed two compounds with maximum absorbances at ~250 and 290 nm, which were indicative of the presence of tetramic acid moiety.^{5,11,12} As previous available reports mentioned only limited metabolites from *E. ophioglossoides*, including the antifungal antibiotic ophiocordin¹³ in 1977 and an antitumor polysaccharide in 1984,¹⁴ we initiated the isolation of the compounds. A detailed analysis of the fungal extract led to the identification of equisetin and a new equisetin analog, which we named ophiosetin (**1** and **2**, Figure 1). This is the first report on either equisetin or an equisetin-related compound produced by this species. In the antimicrobial assay against bacteria, yeasts and filamentous fungi, ophiosetin (**1**) showed a markedly weaker antibacterial activity compared with the very closely related analogs, equisetin (**2**) and

paecilisetin (**3**). We herein describe the isolation, structure elucidation and biological activity of ophiosetin (**1**).

MATERIALS AND METHODS

General procedures

UV spectra were recorded on a Hitachi U-3000 spectrophotometer (Hitachi, Tokyo, Japan). NMR spectra were obtained on a JEOL ECP 400 and ECS 400 spectrometer (Jeol, Tokyo, Japan). The ¹H and ¹³C chemical shifts were referenced to the solvent signals (δ_{H} : 3.30 and δ_{C} : 49.1 in CD₃OD). HR (high-resolution) FAB-MS were recorded on a JEOL JMS-700 spectrometer. HPLC analyses for screening were carried out on an Agilent HP1100 system (Agilent, Tokyo, Japan) using a Cosmosil 5C18-AR-II column (100×4.6 mm² i.d.; Nacalai Tesque, Kyoto, Japan). The solvent used to dissolve **1** and **2** was methanol or chloroform unless stated otherwise. All chemicals, media and reagents were purchased from Wako (Osaka, Japan), unless stated otherwise.

Fermentation and isolation

The fungus, *E. ophioglossoides* HF272, was isolated from a soil specimen collected at the Tsuchiyu Hot Spring in Fukushima, Japan. Ascospores released from the specimen were transferred to SMY and incubated at 25 °C for several days. After mycelia had developed on SMY slants, the strain was kept at –30 °C as a stock culture.¹⁰ The isolated strain was identified as *E. ophioglossoides* according to its morphology by one of the authors (FI) and is deposited at the culture collection of the National Institute of Fruit Tree Science (Ibaraki, Japan) as strain HF272.

The seed culture was prepared as follows: The mycelium of *E. ophioglossoides* HF272 grown on a slant culture was inoculated into test tubes, each containing 5 ml of the seed medium, SMY (maltose 4%, yeast extract 1%, peptone 1%) and cultivated at 25 °C for 5 days. The seed culture (5 ml) was transferred into 500-ml baffled flasks containing 250 ml of the production medium (SMY supplemented with Diaion HP-20 (Mitsubishi Chemical, Tokyo, Japan) 1%) and the flasks were cultured at 25 °C for 21 days under a static condition.

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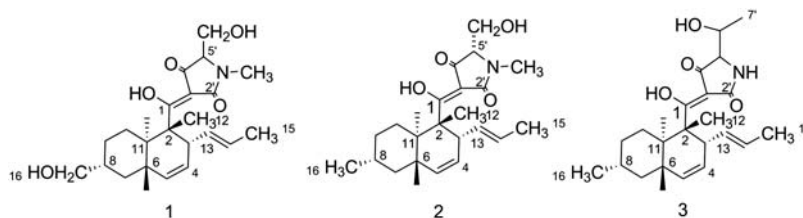


Figure 1 Structure of ophiosetin (1), equisetin (2) and paecilsetin (3).

Table 1 NMR spectroscopic data of ophiosetin (1) in CD₃OD at –80 °C

Position	δ_c^a	δ_H^b	DEPT	HMBC ^b	COSY
1	197.3	—	C ⁰	H-12	
2	49.8	—	C ⁰	H-12	
3	45.8	3.34 (br s, ¹ H)	CH	H-4, H-5, H-12, H-13, H-14	H-4, H-13
4*	128.2	5.46 (m, ¹ H)	CH		
5*	131.0	5.47 (m, ¹ H)	CH		
6	39.4	1.89 (m, ¹ H)	CH	H-5	H-11
7**	31.0	a: 1.10, b: 1.86 (m, ¹ H)	CH ₂	H-16	a: H-7b
8	42.2	1.62 (m, ¹ H)	CH	H-16	
9	37.6	a: 0.87 (ddd, <i>J</i> =12, 12, 12 Hz, ¹ H), b: 1.96(m, ¹ H)	CH ₂	H-16	a: H-9b, H-8
10**	28.9	a: 1.10, b: 2.07 (m, ¹ H)	CH ₂		a: H-10b, H-11
11	41.3	1.69 (m, ¹ H)	CH	H-12, H-5	H-6, H-10b
12	13.9	1.46 (br s, ³ H)	CH ₃	H-11, H-3, H-2	
13	132.1	5.20 (m, ¹ H)	CH	H-15	
14	130.6	5.21 (m, ¹ H)	CH	H-15	H-15
15	18.6	1.54 (d, <i>J</i> =5.5 Hz, ³ H)	CH ₃	H-14	H-14
16	68.3	3.39 (m, ² H)	CH ₂		
2'	177.6	—	C ⁰	N-CH ₃ , H-5'	
3'	102.1	—	C ⁰		
4'	192.9	—	C ⁰	H-5', H-6'	
5'	69.0	3.70 (br.s, ¹ H)	CH	N-CH ₃	H-6'
6'	58.8	a: 3.89 (dd, <i>J</i> =12.4, 2.7 Hz, ¹ H), b: 3.95 (dd, <i>J</i> =12.4, 3.2 Hz, ¹ H)	CH ₂		H-5'
N-CH ₃	26.8	3.02 (s, ³ H)	CH ₃	H-5'	

*, ** exchangeable.

^aRecorded at 100 MHz.

^bRecorded at 400 MHz.

The whole cell broth (250 ml × 4 flasks) of strain HF272 after 21 days of cultivation was extracted with ethyl acetate (without saturation with water earlier, 125 ml per flask) by stirring for 3 h. The mixture was separated by filtration using Miracloth (Calbiochem, La Jolla, CA, USA) and the filtrate was extracted three times, each with 250 ml of ethyl acetate. The organic layer was separated from the aqueous layer in an extraction funnel by solvent partition, dried over anhydrous Na₂SO₄ and evaporated to provide ~334 mg of extract per 1 l of culture. A portion of the crude extract (200 mg) was subjected to reversed-phase column chromatography using a Sep-Pak Vac 35-cc (10 g) C₁₈ cartridge (Waters, Milford, MA, USA) with a step gradient of CH₃CN–H₂O (0:1, 2:8, 4:6, 8:2 and 1:0 v/v). Compound 1 (58.8 mg g⁻¹ extract) and compound 2 (8.5 mg g⁻¹ extract) were purified by reversed-phase HPLC on a Shiseido Capcell-Pak C₁₈ column (Shiseido, Tokyo, Japan) (5 μm; 250 × 10 mm² i.d.) at 254 nm with 40% CH₃CN+0.1% TFA and with 70% CH₃CN+0.1% TFA, respectively.

Ophiosetin (1). Ophiosetin (1) is a pink-brown oily solid; [α]_D²² –244° (c 0.02, CHCl₃); UV (MeOH) λ_{max} (log ε) 204 (3.42), 252 (4.03), 293 (4.22); ¹H, ¹³C NMR, heteronuclear multiple bond coherence (HMBC), see Table 1; HRFAB-MS *m/z* [M+H]⁺ 390.2295 (calcd for C₂₂H₃₂NO₅, 390.2280).

Equisetin (2). Equisetin (2) is a pink-brown oily solid; [α]_D²² –145° (c 0.02, CHCl₃); UV (MeOH) λ_{max} (log ε) 204 (4.29), 250 (3.94), 295 (4.01); ¹H NMR data, UV and specific optical rotation were consistent with the data reported in literature;^{12,15} HRFAB-MS *m/z* [M+H]⁺ 374.2340 (calcd for C₂₂H₃₂NO₄ 374.2331).

Antimicrobial assay

Antimicrobial activities of 1, 2 (from *E. ophioglossoides*) and 3 (from *Isaria farinosa*) were determined by the standardized two-fold broth dilution methods recommended by the Clinical and Laboratory Standards Institute (CLSI, Wayne, PA, USA; formerly the National Committee for Clinical and Laboratory Standards, NCCLS).¹⁶ The MIC was defined as the lowest drug concentration resulting in complete inhibition of growth after 18 h of incubation at 37 °C (bacteria) or 35 °C (fungi). The following bacteria were used as indicator strains: *S. aureus* American Type Culture Collection (ATCC) 25923, *E. faecalis* ATCC 29212, *Escherichia coli* ATCC 25922 and *Pseudomonas aeruginosa* ATCC 27853. The following yeasts and filamentous fungi were used: *Candida albicans* OUT 6266, *Saccharomyces cerevisiae* ATCC 9804, *Aspergillus niger* ATCC 6275, *Rhizopus oryzae* ATCC 10404 and *Geotrichum candidum* NBRC 4598. The following standard antibiotics were used as reference compounds: chloramphenicol (antibacterial) and amphotericin B (antifungal).

The producing strain was isolated from soil collected at the Tsuchiyu Hot Spring in Fukushima, Japan. This fungus was identified as *E. ophioglossoides* (previously known as *Cordyceps ophioglossoides*) on the basis of morphological criteria. HRFAB-MS of **1** revealed an ion $[M+H]^+$ at m/z 390.2295, corresponding to the molecular formula $C_{22}H_{32}NO_5$ (calcd for $C_{22}H_{32}NO_5$, 390.2280), whereas **2** revealed an ion at m/z 374.2340, corresponding to the molecular formula $C_{22}H_{32}NO_4$ (calcd for $C_{22}H_{32}NO_4$, 374.2331). The 1H NMR spectra of **1** and **2** were complicated by the occurrence of several subsets or broadenings of NMR signals, which supported the presence of a tetramic acid moiety because the 1H NMR spectra of tetramic acids frequently display several tautomeric forms.^{6,7,12} Measurement of 1H NMR at $-80^\circ C$ slightly resolved the broadening of signals but significantly improved the measurement of ^{13}C NMR of **1** (Supplementary Figures S1 and S2). As in the case of trichosetin,⁶ the signals corresponding to C1, C2' and C4' could only be observed when measurement was taken at $-80^\circ C$. Henceforth, all NMR measurements with the exception of NOESY were taken at $-80^\circ C$. NOESY measurement at room temperature was satisfactory and, therefore, measurement at $-80^\circ C$ was not required. Physical and spectroscopic data, including 1H NMR, UV, mass spectral fragmentations and specific rotation of **2**, were in complete agreement with or consistent with those of equisetin.^{7,12,15} A careful comparison of the spectral data between **1** and **2** showed that compound **1** was a new analog of equisetin. Evaluation of the EI mass spectral fragmentation patterns of **1** and **2** suggested the addition of one oxygen atom in **1**. The ions at m/z 170 ($C_7H_8NO_4$) that would be expected to arise from α -cleavage between the bridging carbonyl and hydrocarbon domain¹² were detected in both compounds, whereas the m/z 203 ion corresponding to the carbocyclic domain of **2** was not observed in **1**. Instead, an m/z 219 ion was observed, thereby suggesting the addition of one oxygen atom in the carbocyclic domain of **1**. Interpretation of the 1H , ^{13}C and 2D NMR data of **1** (Table 1) showed that this compound differs from equisetin only by the hydroxylation of the C-16 methyl group attached to C-8 of the decalin moiety. This was supported by the absence of methyl doublets at δ 0.92 in the 1H NMR spectrum and by the presence of a signal at δ 3.39, which is in agreement with the presence of a hydroxymethylene group. Furthermore, DEPT 135 analysis confirmed the absence of a methyl group (C-16), but the presence of a methylene group at δ 68.3 in **1** (Supplementary Figure S3). HMBC correlation between C-7, C-8, C-9 and C-16 further confirmed the proposed structure (Figure 2). Ha-9 showed ddd ($J=12, 12, 12$ Hz) on the basis of $^2J_{H,H}$ coupling with Hb-9 and $^3J_{H,H}$ couplings with H-8 and H-10. The latter coupling values (12, 12 Hz) indicate axial-axial relationships for H-8/Ha-9 and Ha-9/H-10 axial (Figure 3). The relative configuration of the decalin core of ophiosetin (**1**) was also determined by a NOESY experiment. The NOESY correlation peaks between Ha-9 and the bridge-head proton H-11, as well as between Ha-9, Hb-7 and H-16, indicate the *syn* relationship of these protons. The second bridgehead proton, H-6, which is located on the other side of the molecule, shows a correlation signal to the methyl group, H₃-12. No NOESY correlation can be detected between H-6 and H-11, which indicates a 6,11-*trans* ring fusion of the two six-membered rings. The cross peak observed between H-13 and H-15 indicates a 13*E* configuration. The NOESY-derived stereochemistry and conformation of ophiosetin is depicted in Figure 3. NOESY correlations established that the relative configuration of the bicyclic subunit was identical to that in equisetin. As the specific optical rotation ($[\alpha]_D^{22}-244^\circ$) is the same in sign to that of equisetin, it has been tentatively assumed that the absolute configuration in the bicyclic part of the molecule is also the same as in equisetin. The absolute configuration of equisetin has

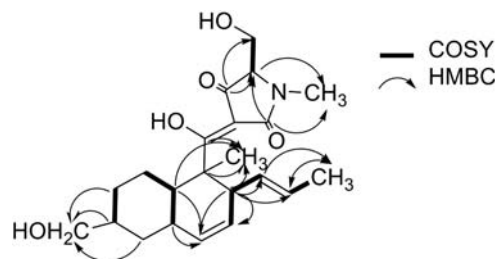


Figure 2 COSY and HMBC correlations of **1**.

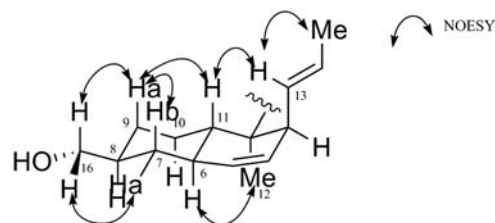


Figure 3 NOESY correlations of **1**.

been previously reported,¹² which was also supported by total synthesis of the compound.¹⁷ The stereochemistry of phomasetin ($[\alpha]_D^{22}+93.9^\circ$), an enantiomer homolog of equisetin, has also been described previously.⁷

The modification at the methyl group of C-16 has never been reported in any equisetin-related compound. To evaluate the effect of the hydroxyl group on the biological characteristics of **1**, its antimicrobial activity was assayed. Equisetin (**2**) and all related compounds that have been tested so far show potent antibacterial activity against Gram-positive bacteria.¹⁻⁴ Consistent with previous reports, in our assay, compounds **2** and **3** exhibited antibacterial activity against Gram-positive *S. aureus* (MIC $4\ \mu g\ ml^{-1}$ for both **2** and **3**) and *E. faecalis* (MIC $4\ \mu g\ ml^{-1}$ for **2** and $2\ \mu g\ ml^{-1}$ for **3**). In addition, compounds **2** and **3** also showed moderate activity against Gram-negative *E. coli* (MIC $8\ \mu g\ ml^{-1}$). However, we could not detect any inhibitory activity of compound **1** even at a concentration of $128\ \mu g\ ml^{-1}$ against all other tested bacterial strains, except for a weak activity against *E. faecalis* (MIC $128\ \mu g\ ml^{-1}$). As for the antifungal activity, compound **3** exhibited weak activity (MIC $16\ \mu g\ ml^{-1}$) against *R. oryzae* and *A. niger*, but compounds **1** and **2** did not show any antifungal activity at $32\ \mu g\ ml^{-1}$. This finding indicates that the modification at C-16 of the decalin moiety in compound **1** results in a drastic decrease in biological activity compared with that of equisetin, whereas it remains unclear at present whether the decreased biological activity is due to the more polar nature of **1**, which may hinder the passage through the cell membrane or affinity toward the target molecules.

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Supplementary Information accompanies the paper on The Journal of Antibiotics website (<http://www.nature.com/ja>)