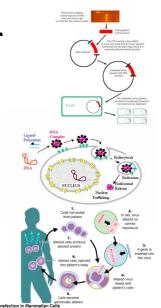
# Gene Therapy: Non viral Gene Therapy

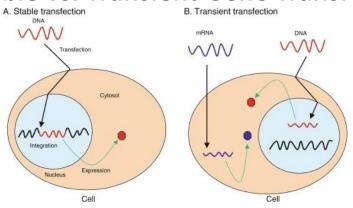
Marselina Tan

## **Gene Transfer**

- Transformation: introduction of genetic materials into bacteria
- Transfection: introduction of genetic materials into eukaryotic cells (e.g. fungi, plant, or animal cells)
- Transduction: introduction of genetic materials using viruses
- Lipofection: introduction of genetic materials using liposomes



## Stable vs. Transient Gene Transfer



- Stable Gene Transfer: achieved by plasmid integration in the host genome or episomal replication of the transferred plasmid.
- Transient Gene Transfer: the foreign DNA is usually not integrated into the nuclear genome and will be degraded or diluted through mitosis

# Design plasmid

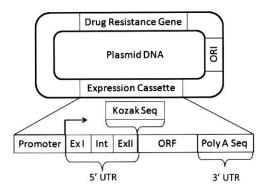


Fig. 1.1 Diagram of Plasmid DNA. Diagram shows feature of plasmid DNA. Abbeviations: ORI-Origin of Replication; ExI and ExII-Exon I and Exon II; Int-Intron; Poly A Seq-Poly Adenylation Sequence; Arrow-Transcription Initiation Sequence.

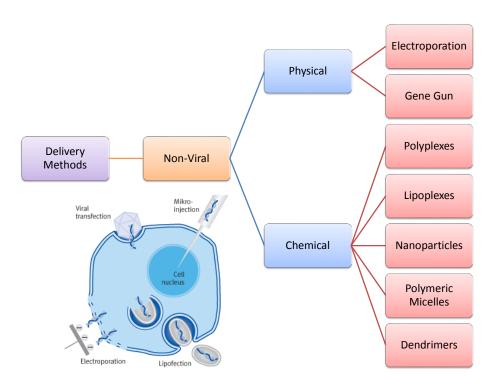
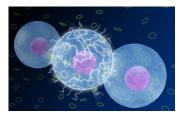


Table 1.1. Scientific milestones that impacted the field of non-viral gene therapy.

Scientific Milestone	Year	Refs.
First Liposome Based DNA Delivery Patent filed	1983	1
First publications describing the use of cationic lipids to transfect cells	1987–89	2–4
Demonstration that "Naked DNA" can Transfect muscle cells in vivo	1990	5
First human clinical trial conducted for development of melanoma cancer vaccine using cationic lipid formulated plasmid DNA	1996	6, 7
First indications of clinical efficacy demonstrated for treatment of Chronic Limb Ischemia following IM administration of VEGF Naked pDNA	1996	8, 9
Electroporation yields order of magnitude increase in gene expression following local administration	1998	10
Aqua Health (Novartis) anti-viral vaccine for salmon receives approval in Canada.	2005	
Successful demonstration of efficacy for treatment of chronic limb ischemia following IM administration of pDNA expressing hepatocyte growth factor.	2007	
Merial receives conditional USDA approval of canine melanoma therapeutic genetic vaccine.	2008	

# **Electroporation**

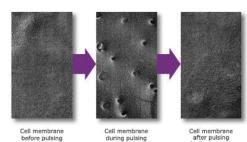
 Momentary exposure of cells suspended in DNA solution to a high electrical field



The phenomenon of electroporation

#### Advantages:

High transfection efficiency



#### Disadvantages:

 Damage of a significant number of cells

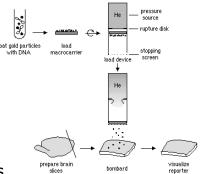
## Gene Gun

- Also called Biolistic transformation
- Advantages:
  - Can be used in any cell type

## Disadvantages:

- Damage of a significant number of cells
- Low transfection efficiency
- Reproducibility problems





# Nanoparticles: Goals

- More specific drug targeting and delivery
- Reduction in toxicity while maintaining therapeutic effects
- Greater safety and biocompatibility
- Faster development of new safe medicines

Table 2 Chemicals under investigation for drug delivery			
Albumin	Damascelli et al 2003		
Cetyl alcohol/polysorbate	Koziara et al 2004		
Chitosan	Dyer et al 2002;		
	Huang et al 2004		
Gelatin	Cascone et al 2002		
Gold	Hainfield et al 2004;		
	Paciotti et al 2004		
Hydrogels	Gupta and Gupta 2004		
Magnetic iron oxide	Gupta and Gupta 2005		
Methoxy	Kim et al 2003		
poly(ethylene glycol)/poly(ε-caprolactone)			
Polyalkylcyanoacrylate composites	Alyautdin et al 1997;		
	Kreuter et al 2003		
Poly(D,L-lactic-co-glycolic)acid (PLGA)	Panyam et al 2002;		
	Weissenbrock et al 2004		
Solid lipid formulations	Muller et al 2000;		
	Wissing et al 2004		

# Nanoparticles

- Polyplexes
- Lipoplexes
- Polymeric NPs
- Polymeric micelles
- Dendrimers

 Table I Overview of nanoparticles and their applications in Life

 Sciences

Sciences				
Particle class	Materials	<b>Application</b>		
Natural	Chitosan	Drug/Gene delivery		
materials or	Dextrane			
derivatives	Gelatine			
	Alginates			
	Liposomes			
	Starch			
Dendrimers	Branched polymers	Drug delivery		
Fullerenes	Carbon based carriers	Photodynamics Drug delivery		
Polymer carriers	Polylactic acid	Drug/gene delivery		
	Poly(cyano)acrylates			
	Polyethyleinemine			
	Block copolymers			
	Polycaprolactone			
Ferrofluids	SPIONS	Imaging (MRI)		
	USPIONS			
Quantum dots	Cd/Zn-selenides	Imaging		
		In vitro diagnostics		
Various	Silica-nanoparticles	Gene delivery		
	Mixtures of above			

# Co-precipitation

- Binding of DNA (-ve) into macromolecular complexes e.g. Calcium Phosphate crystals (+ve). Uptake by <u>endocytosis</u>.
- Advantages:
  - Inexpensive and simple to perform
  - High transfection efficiency
- Disadvantages:
  - Cytotoxicity

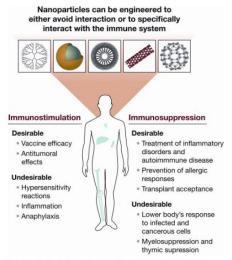
# **Endocytosis**

# Phagocytosis Pinocytosis Pinocytosis Extracellular fluid Plasma membrane Pseudopodium Phagosome (food vacuole) Coated pit Coated pit Receptor Coated pit Coated vesicle

# Main issue using nanoparticles

- Drug incorporation and release
- · Formulation stability and shelf life
- Biocompatibilty
- Biodistribution and targeting
- functionality

De Jong & Borm, 2008.



**FIG. 1.** Nanoparticle interactions with the immune system. Nanoparticles' effects on the immune cells may benefit treatment of disorders mediated by unwanted immune responses and enhance immune response to weak antigens. On the other hand, undesirable immunostimulation or immunosuppression by nanoparticles may result in safety concerns and should be minimized.

Zolnik et al., 2010

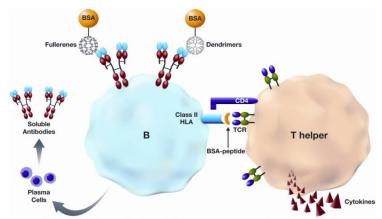


FIG. 2. Hypothetical model of nanoparticle antigenicity. Nanoparticles (dendrimers and fullerenes) may not be antigenic unless they are bound to a protein carrier such as BSA. BSA-nanoparticle conjugate is endocytosed by B cells, and the protein is digested inside the cell. B cells then may present BSA peptides on their human leukocyte antigen-class II molecules to T-helper cells. Both cells become activated, resulting in production of cytokines by T lymphocytes and antibodies directed to nanoparticles by plasma B cells. The protein carrier is indispensable for T cell activation. Antibodies to fullerenes and dendrimers are produced by different B cells. For simplicity of the figure, only one B cell is shown. TCR, T cell receptor.

Zolnik et al., 2010

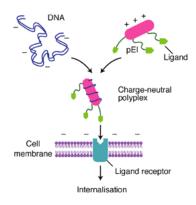
## **Polyplexes**

- They are complexes of cationic polymers with nucleic acids
- Polyplex formation is regulated by electrostatic interaction, which is affected by:
  - pH of the media
  - Ionic strength
  - Cationic density

# Polyplexes

- Examples:
- Polyethylenimine (PEI)
- Poly-L-Lysine (PLL)

# **Polyplexes**



#### **Polyplexes**

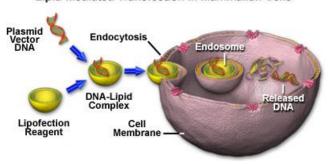
- Advantages:
  - Easy to formulate
  - Simple chemical modification
- Disadvantages:
  - Cytotoxicity
  - Stability problems

## Lipoplexes

- They are complexes of cationic lipids or liposomes with nucleic acids
- Lipoplex formation is regulated by electrostatic interaction and lipid constituents of the carrier
- Transfection using lipoplexes is known as Lipofection

# Lipofection

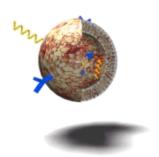
Lipid-Mediated Transfection in Mammalian Cells



# Lipoplexes

- Examples:
- Lipofectin
- Lipofectamine





## Lipoplexes

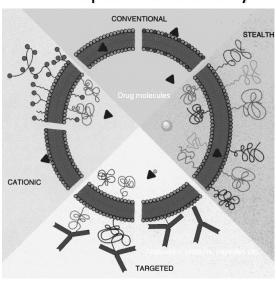
## Advantages:

- Versatility
- Protection of DNA
- Fusion with cell membrane
- Biocompatibility

## • Disadvantages:

- Labor intensive
- Expensive
- Stability
- Clearance by RES

## Liposome versatility



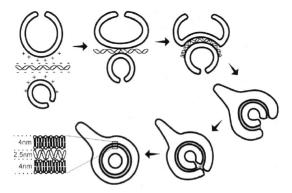


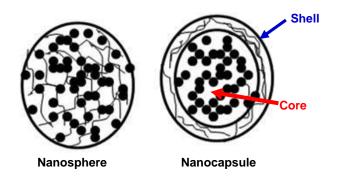
Fig. 2. Proposed model showing cross-sections of extruded DOTAP: Chol liposomes (BIVs) interacting with nucleic acids. Nucleic acids adsorb onto a BIV via electrostatic interactions. Attraction of a second BIV to this complex results in further charge neutralization. Expanding electrostatic interactions with nucleic acids causes inversion of the larger BIV and total encapsulation of the nucleic acids. Inversion can occur in these liposomes because of their excess surface area, which allows them to accommodate the stress created by the nucleic acid-lipid interactions. Nucleic acid binding reduces the surface area of the outer leaflet of the bilayer and induces the negative curvature due to lipid ordering and reduction of charge repulsion between cationic lipid headgroups. Condensation of the internalized nucleic acid-lipid sandwich expands the space between the bilayers and may induce membrane fusion to generate the apparently closed structures. The enlarged area shows the arrangement of nucleic acids condensed between two 4 nm bilayers of extruded DOTAP: Chol.

## **Polymeric Nanoparticles**

- Nanoparticles made out of polymers
- Natural polymers:
  - Chitosan
  - Albumin
  - Gelatin
- Synthetic Polymers:
  - Polylactic acid (PLA)
  - Polyglycolic acid (PGA)
  - Poly(lactic-co-glycolic acid) (PLGA)

#### **Polymeric Nanoparticles**

 Polymeric NPs can be prepared to become Nanospheres or Nanocapsules

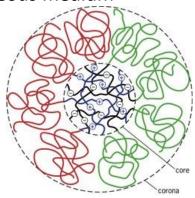


## **Polymeric Nanoparticles**

- Advantages:
  - Biocompatibility
  - Biodegradability
  - Loading of more than one gene or drug
- Disadvantages:
  - Tedious preparation
  - Degradation of DNA during preparation
  - Can't be easily modified

## **Polymeric Micelles**

 Amphiphilic polymer chains that selfassemble into nano-sized spherical structures in aqueous medium



## **Polymeric Micelles**

- Advantages:
  - Biocompatibility
  - Biodegradability
  - Easy to prepare
  - Chemical modification is easy
- Disadvantages:
  - Highly unstable
  - Must be made fresh

### **Dendrimers**

• Highly-branched polymeric macromolecules

Generation	G0	G1	G2	G3	G4
# of Surface Groups	3	6	12	24	48
Diameter (nm)	1.4	1.9	2.6	3.6	4.4
2D Graphical Representation	<b>~</b>	L.	***		
3D Chemical Structure View	The state of the s	The state of the s			

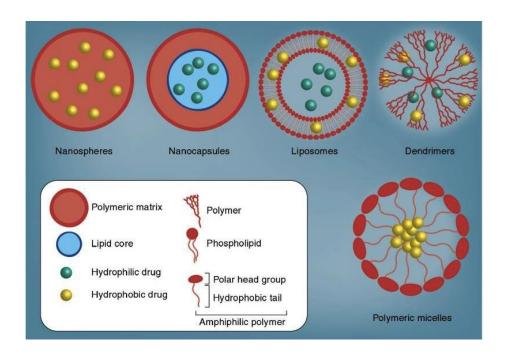


Table 4 Toxicity of engineered and combustion (nano) particles as illustrated by their most unique adverse effects in vivo and in vitro

Description of finding, in vivo	Particle types
NPs cause pulmonary inflammation in the rat	All PSP
Later studies show that inflammation is mediated by surface area dose.	SWCNT, MWCNT
NPs cause more lung tumors than fine particles in rat chronic studies. Effect is surface area mediated	PSP only
NPs cause progression of plague formation (ApoE -/- mice)	SWCNT, PM2.5
NPs affect immune response to common allergens	Polystyrene, CB, DEP
NsP can have access to systemic circulation upon inhalation and instillation.	Specific NP, dependent on surface coating
Description of finding, in vitro	
NPs cause oxidative stress in vivo and in vitro, by inflammatory action and generation of surface radicals.	PSP, NP general, CNT
NPs inhibit macrophage phagocytosis, mobility and killing	CB,TiO,
NPs cause platelet aggregation	PM, SWCNT, fullerenes,
	latex-COOH surface
NPs exposure adversely affects cardiac function and vascular homeostasis	PM, SWCNT
NPs interfere with Ca-transport and cause increased binding of pro-inflammatory transcription factor NF-kB	CB (<100 nm), ROFA,
	PM2.5
NPs can affect mitochondrial function	Ambient NP,
NPs can translocate to the brain from the nose	MnO <sub>2</sub> , Au, carbon
NPs do affect rolling in hepatic tissue	СВ